



REV. 1/03

For Other Than A Small Entity

Docket No. CF-30

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Not yet assigned
Group Art Unit : 2161
Applicants : Howard W. Lutnick et al.
Application No.: 10/015,738 Confirmation No.: 3474
Filed : December 12, 2001
For : METHODS AND SYSTEMS FOR TRADING OF FUTURES
CONTRACTS FOR INTANGIBLE ASSETS

RECEIVED
JAN 21 2003
GROUP 3600

Box MISSING PARTS
Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

TRANSMITTAL LETTER

Sir:

Transmitted herewith: ☐ a Preliminary Amendment;
☒ a Submission of Executed Declaration and Power of Attorney;
☒ an Executed Declaration and Power of Attorney along with a copy of the specification as filed; ☐ a Reply to Office Action; to be filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.

☐ A fee for additional claims is required.

The additional fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	FEE
TOTAL CLAIMS	-	20 *	=	0 X	\$18 = \$0
INDEPENDENT CLAIMS	-	3 **	=	0 X	\$84 = \$0
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM				+	\$280 = \$0

* If less than 20, insert 20.

TOTAL = \$0

** If less than 3, insert 3.

[] A check in the amount of \$_____ is transmitted herewith.

[X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[] Please charge \$_____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

[] The following extension is applicable to the Response filed herewith; [] \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.17(a)(1); [] \$410.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.17(a)(2); [] \$930.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.17(a)(3); [] \$1,450.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.17(a)(4); [] \$1,970.00 extension fee for response within fifth month pursuant to 37 C.F.R. § 1.17(a)(5).

[] A check in the amount of [] \$110.00; [] \$410.00; [] \$930.00; [] \$1,450.00; [] \$1,970; in payment of the extension fee is transmitted herewith.

- [X] The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. \$ 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- [] Please charge the [] \$110.00; [] \$410.00; [] \$930.00; [] \$1,450.00; [] \$1,970; extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.



Jeffrey C. Aldridge
Registration No. 51,390
Agent for Applicants
FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, NY 10020-1104
Tel.: (212) 596-9000



CF-30

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Not yet assigned
Group Art Unit : 2161
Applicants : Howard W. Lutnick et al.
Application No.: 10/015,738 Confirmation No.: 3474
Filed : December 12, 2001
For : METHODS AND SYSTEMS FOR TRADING OF FUTURES
CONTRACTS FOR INTANGIBLE ASSETS

Box MISSING PARTS
Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

RECEIVED
JAN 21 2003
GROUP 3600

SUBMISSION OF EXECUTED
DECLARATION AND POWER OF ATTORNEY

Sir:

The executed combined Declaration and Power of Attorney (attached hereto) is to be filed in application No. 10/015,738, which was filed on December 12, 2001. A copy of the specification as filed is also attached hereto.

Respectfully submitted,

Jeffrey C. Aldridge
Registration No. 51,390
Agent for Applicants
FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, NY 10020-1104
Tel.: (212) 596-9000